SMITH MOORE

Providing financial advice since 1913

Wealth Management Associate Opportunity

Consider joining Smith Moore, a financial services firm established in 1913, headquartered in Clayton, Missouri with 11 offices in Missouri, Illinois, Kansas and Mississippi.

If you are considering a career as a Financial Advisor, look no further than the Wealth Management Associate (WMA) Program at Smith Moore, one of the oldest investment and financial planning firms established west of the Mississippi River. Through our WMA Program, you will begin by working alongside one of our veteran Financial Advisors with the goal of building your knowledge of the industry, forming a partnership and team, and one day possibly taking over the practice from the senior advisor. We are looking for intelligent, ethical, young professionals with a passion for financial planning and a thirst for learning how to guide clients through the three stages of Financial Planning: Accumulation, Distribution and Legacy.

What we need from you:

- Undergraduate College Degree with Financial Planning or Business Focus
- Strong technology aptitude
- Professional communication skills
- Attention to detail
- Self-motivation and Self-Confidence
- Ability to pass the FINRA SEI, Series 7 and 66 License Exams within six months of hire
- Ability to prioritize responsibilities and manage more than one project at a time
- Positive attitude and sincere willingness to constantly learn and grow
- Team player with long-term focus
- Basic knowledge of financial markets and investment solutions in the industry
- CFP designation in three years

What you get from us:

- Invaluable mentorship
- Competitive compensation and benefits package
- Opportunity to earn fees & commissions once licensed

- Bonus program
- 401K and Profit-Sharing Plan
- Career Advancement opportunities
- Earn additional industry licenses
- Continuing education
- Innovative culture
- Build your own Financial Planning/Wealth Management Practice while learning from your mentor and receiving stable compensation

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What your days will be like:

Client Care / Business Management

- Maintain client contact during the financial planning process. Answer questions and provide readily available information to clients as requested by client or Financial Advisor
- Manage and resolve client service requests
- Identify unmet client needs to escalate to Financial Advisor
- Review and update client information as needed for client review meetings
- Attend and participate in client meetings
- Assist Financial Advisor with order entry and logistics of investment management

Wealth Management / Financial Planning & Investment Advice / Product Solutions

- Become proficient with industry leading financial planning tools such as Morningstar Office, Money Guide Pro, Riskalyze, Circle Black, Security APL, Thompson One
- Prepare for meetings
- Prepare preliminary financial planning recommendations and initial product solutions for Financial Advisor review and use in client meetings
- Develop portfolio/robust investment product solution recommendations that will fit the client's risk tolerance and time frame as well as develop appropriate product deliverables
- Review 401(k) and outside account holdings; facilitate data input into Smith Moore performance reporting programs, etc.

For additional information, please visit www.smithmoore.com/joinus

To apply, send your cover letter and resume to:

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